Crown architecture and leaf habit are associated with intrinsically different light-harvesting efficiencies in *Quercus* seedlings from contrasting environments

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Abstract – Crown architecture and light-harvesting efficiency (*Eₐ*) were compared in seedlings of eight *Quercus* species from contrasting environments. Several morphological variables were measured after one year of growth under the same environmental conditions. *Eₐ* was estimated with the 3-D model *Y*-plant. The species were classified in four groups according to their *Eₐ*: *Q. rubra-Q. alba > Q. faginea-Q. pyrenaica > Q. robur-Q. ilex-Q. coccifera > Q. suber*. Principal component analysis revealed that the constraining morphological variables for maximizing *Eₐ* were the total number of leaves and the variability of internode length. Two contrasting growth models were associated with distinct environments and significantly different *Eₐ*: evergreen, Mediterranean species (e.g. *Q. suber*), which showed a multilayered crown of many small leaves rendering low *Eₐ*, versus deciduous, non-Mediterranean temperate species (e.g. *Q. rubra*), which showed the opposite characteristics.

1. INTRODUCTION

Light availability is a critical and heterogeneous ecological factor to which plant species have adapted their physiology and structure [2, 10, 13, 22]. Light harvesting by plant crowns is influenced by a range of architectural traits including leaf elevation angle and internode length [43, 46, 49]. Mutual shading between neighbour leaves is the result of plant architecture and the spatial position of the light source. Different species exhibit different architectural traits and different phylogenetic constraints to maximize light capture, but within a given species light harvesting can be significantly modified by means of plastic phenotypic adjustments that lead to contrasting degrees of self-shading in sun and shade phenotypes [46]. Causes and consequences of self-shading have received extensive attention [17], and they are still the focus of many studies [20,40,50]. Light availability can be a limiting factor in low light environments such as forest understories [7, 8, 12, 15] or a stress factor in open areas with high radiation loads [3, 23]. In high-light environments, photooxidation and photoinhibition can take place when the irradiance needed for plant assimilation is exceeded, which is especially frequent if other stress factors such as drought are present [45, 48]. Under these high light conditions self-shading may be favorable for avoiding light and thermal stresses. Contrastingly, under low light conditions, self-shading may be disadvantageous since it reduces light harvesting, which negatively affects plant performance [19,44,47,50].

The connections between structure and function of plant developmental patterns in relation to light harvesting have received renewed attention during the last years [33]. However, most studies have focused on modeling instead of performing
2. MATERIALS AND METHODS

2.1. Plant material and experimental conditions

Seedlings of several *Quercus* species were used as the subject of the measurements (Tab. I). We selected a wide range of oak species according to their provenance environment and main distribution area [1, 18, 21]. The species were: *Q. alba* L., *Q. coccifera* L., *Q. faginea* Lam., *Q. ilex* L. subsp. *bailboa* (Desf.) Samp. (hereafter *Q. ilex*), *Q. pyrenaica* Willd., *Q. robur* L., *Q. rubra* L. and *Q. suber* L. All species belong to the *Quercus* taxonomic section within subgenus *Quercus*, excepting *Q. rubra* which is included in the Lobatae section [29]. Seeds obtained in Zaragoza province (NE Spain) were used for some evergreen Mediterranean (*Q. coccifera*, *Q. ilex*) and deciduous transitional sub-Mediterranean (*Q. faginea*, *Q. pyrenaica*) oaks. Seeds for the rest of species were obtained from two guaranteed providers for European (“El Serranillo” Forest Breeding Station, Ministry of Environment, Spain) and American species, respectively (Sandeman Seeds, The Croft, Sutton, UK). Provenance zones of seeds were characteristic for each species [38]. Seed selection and storage were done following standard procedures [5, 16, 24].

Sowing was done during the first week of March of 2000 in cylindrical 1 L pots, which were built using PVC pipe sections 300 mm length and 70 mm of inner diameter. A jar lid of suitable size was fixed to the bottom of each pipe section to facilitate drainage. The pots were filled with sand and nutrients were supplied by using a slow-liberation fertilizer (Osmocote Plus, Sierra Chemical, Milpitas, USA). Plants were kept in a shade tunnel (60% of full sunlight), leading to ca. 25 mol photon m$^{-2}$ day$^{-1}$ of photosynthetically active radiation during clear spring days. Seedlings were arranged randomly according to their species in the tunnel. Seedling morphology was similar for plants grown inside and outside the tunnel, despite shade tunnels modify the ratio between diffuse and direct ratio. Seedlings were properly watered throughout the experiment. The mean temperature during the growing season (March–August) was 20 °C, and the relative air humidity ranged 60–90%. Five individuals per species were randomly selected and tagged fixing the geographical orientation (N-S) of each plant with color marks on the container. The precise orientation of the plants was necessary for subsequent processing and measurement. Measurements were done when the last leaf flush was completed in mid August. Plants were harvested at the end of August.

2.2. Light harvesting estimation

The efficiency of light harvesting ($E_o$) of each individual plant was calculated using the 3-D computer model Y-plant [32]. $E_o$ ranges between 0 and 1, and it is defined as the mean daily photosynthetic flux density (PFD) of photons per unit leaf area absorbed by the plant divided by PFD incident on a horizontal surface right above the plant [44]. Y-plant yields an estimation of the light harvesting efficiency and corresponding photosynthesis values for a three-dimensional model of plants by the simulation of the sun path during a given day. The crown architectural information required by Y-plant was obtained from measurements on at least five individuals per species. At each node within the crown, the internode and petiole angles and azimuths, the angle and azimuth of the leaf surface normal, and the azimuth of the midrib were recorded with a compass-protractor. Leaf, petiole, and internode lengths were measured with...
Table I. Information on the studied Quercus species: leaf habit (E: evergreen, D: deciduous); geographical distribution expressed as latitudinal (Lat) and longitudinal (Long) range centroids (mean latitude and longitude of all 50 × 50 km cells occupied by the species); climatic data of regions where seeds were taken, P/A: summer and annual total precipitation; T/J: mean of January and August temperatures, respectively. Distribution and climatic data were taken from several sources for European [6, 18] and North American [4] species.

<table>
<thead>
<tr>
<th>Species</th>
<th>Leaf habit</th>
<th>Lat (°N)</th>
<th>Long W/E (°)</th>
<th>P/A (mm)</th>
<th>T/J (°C)</th>
<th>Leafling pattern</th>
</tr>
</thead>
<tbody>
<tr>
<td>Q. alba</td>
<td>D</td>
<td>37.0</td>
<td>82.0 W</td>
<td>300 / 850</td>
<td>-5 / 20</td>
<td>Sequential</td>
</tr>
<tr>
<td>Q. coccifera</td>
<td>E</td>
<td>40.0</td>
<td>7.5 E</td>
<td>30 / 400</td>
<td>8 / 25</td>
<td>Sequential</td>
</tr>
<tr>
<td>Q. faginea</td>
<td>D</td>
<td>40.1</td>
<td>3.7 W</td>
<td>60 / 750</td>
<td>6 / 23</td>
<td>Sequential</td>
</tr>
<tr>
<td>Q. ilex</td>
<td>E</td>
<td>39.9</td>
<td>4.0 W</td>
<td>40 / 600</td>
<td>6 / 22</td>
<td>Sequential</td>
</tr>
<tr>
<td>Q. pyrenaica</td>
<td>D</td>
<td>42.5</td>
<td>3.3 W</td>
<td>70 / 1000</td>
<td>5 / 20</td>
<td>Sequential</td>
</tr>
<tr>
<td>Q. robur</td>
<td>D</td>
<td>50.4</td>
<td>12.7 E</td>
<td>200 / 1600</td>
<td>5 / 17</td>
<td>Sequential</td>
</tr>
<tr>
<td>Q. rubra</td>
<td>D</td>
<td>40.0</td>
<td>8.0 W</td>
<td>300 / 900</td>
<td>-6 / 17</td>
<td>Sequential</td>
</tr>
<tr>
<td>Q. suber</td>
<td>E</td>
<td>40.4</td>
<td>1.2 E</td>
<td>30 / 800</td>
<td>9 / 23</td>
<td>Simultaneous</td>
</tr>
</tbody>
</table>

Light conditions of the shade tunnel where plants were growing were recorded during two months with Ha-Li light sensors (EIC SL, Madrid, Spain) cross-calibrated with a LI-190SA quantum sensor (Li-Cor, Lincoln, Nebraska, USA). Light sensors were connected to data loggers (HOBO 8, Onset, USA) and disposed in several locations within the tunnel. From the irradiance data obtained, the solar constant value required by Y-plant was set at 1150 μmol m⁻² s⁻¹ and rendered accurate simulations of the mean light availability of the plants. Since last leaf flush was completed for all species by August 15th, this date was chosen to run all the simulations.

2.3. Morphological measurements

To obtain additional parameters related to morphology and biomass allocation, the following additional measurements were performed: (1) internode lengths of each plant to calculate the coefficient of variation of internode length (CV); (2) the diameter/height relationship (D/H) for each seedling; (3) an allocation analysis considering the dry mass of the different components of the seedlings (stems, branches, petioles, leaves) to derive leaf mass per area (LMA) and leaf area ratio of the shoot (LARshoot, leaf area divided by the dry mass of stems + branches + petioles + leaves); (4) leaf area of individual leaves to calculate mean leaf area (MLA). Structural dry mass is considered as the fraction (%) of above ground biomass represented by stems, branches and petioles, i.e. the dry mass of (stems + branches + petioles) divided by the dry mass of (stems + branches + petioles + leaves). Leaf area was measured using a leaf area meter (Area Measurement System, Delta-T Devices). Dry mass of seedlings components was determined after 48 h at 80 °C.

2.4. Statistical analyses and ordination methods

The normality of all quantitative morphological variables was previously checked. All analysed variables (Ea, D/H, CV, LMA, LARshoot, number of leaves, MLA) were normally distributed (Kolmogorov-Smirnov test, p > 0.05, Z = 0.89 – 1.24, n = 43). One-way ANOVAs were performed using these variables to compare mean values among the species. Unplanned comparisons were carried out among mean species values for the selected variables using the Student-Newman-Keuls (SNK) test. Finally, in order to ordinate oak species according to the selected variables we did a principal component analysis (PCA) using individual values [41]. The PCA was carried out to explore form-functional grouping of species according to crown architecture and light harvesting. The PCA was based on the covariance matrix since the studied variables were measured in different units. Errors are expressed as standard error throughout the text.

3. RESULTS

Plant crown architecture and leaf morphology differed significantly among the seedlings of the different species (Fig. 1). Mean leaf area (MLA) was significantly (p < 0.01) related to all morphological variables studied, with most variables (CV, D/H, LARshoot, Ea) positively related (r = 0.84 – 0.96) to MLA (Tab. II). However, the number of leaves exhibited a negative relationship with MLA and the rest of variables, specially light harvesting efficiency (Ea, Tab. II; Fig. 2).

The mean values of the morphological variables studied were significantly different among oak species (one-way ANOVAs, p ≤ 0.05). Species from Mediterranean environments showed the highest number of leaves, lowest mean and total leaf areas, and the lowest LARshoot (Fig. 3). Mediterranean species also showed the lowest coefficient of variation of internode length and diameter/height ratio, whereas they showed the highest leaf mass per area (Fig. 4). We did not observe any clear grouping of the species based on the fraction of structural dry mass.

Mean light harvesting efficiency (Ea) ranged from 0.55 to 0.77 and significantly differed among the species, leading to four distinct groups (Fig. 5). The first component of the PCA explained 94% of the overall variability and was positively associated with all variables except number of leaves, whereas
the second component explained the remaining 6%, and it was related to light harvesting efficiency and the number of leaves (Tab. II). The mean values of the first component scores ordered the species in these groups: (i) *Q. alba*, *Q. rubra*; (ii) *Q. pyrenaica*, *Q. robur*; (iii) *Q. faginea*, *Q. coccifera*; and (iv) *Q. ilex*, *Q. suber* (Fig. 6). This ranking corresponded approximately to a gradient of decreasing *Ea* and increasing number of leaves. Oak species from temperate-mesic sites showed a combination of high *Ea* and low number of relatively large leaves (e.g., *Q. alba*, *Q. rubra*), whereas Mediterranean oaks showed the opposite characteristics (e.g., *Q. coccifera*, *Q. suber*).

### 4. DISCUSSION

The reduction of leaf size and total foliage area, thick leaves, high leaf mass per area, and other features are associated to plant species from hot and dry environments. These traits have been considered as adaptations to reduce transpiration [31, 37–39]. In agreement with this, we observed the lowest mean and total leaf areas in seedlings of Mediterranean oaks (Fig. 3). The reduction in the mean area of individual leaves resulted in a non-linear increase in the total number of leaves of the plant (Fig. 2). This combination of decreasing leaf size and increasing number of leaves occurs in species with a reduced growth rate, which leads to a new trade-off may because a high number of leaves must be arranged in a reduced stem length [49]. The simplest solution to minimize self-shading is the arrangement of the leaves in a multi-layered crown [17]. Thus, the low light-harvesting efficiency of Mediterranean oak seedlings (e.g., *Q. suber*) could be simply the result of arranging a large number of leaves along a stem whose growth rate is low. Similarly, species mean leaf size and self-shading explained most of the variance in light capture of many perennial plants coexisting in Australia temperate forests [11]. Our findings are consistent with results from simulation studies based on virtual plants which found that the higher self-shading was due both to crowding of leaves close to each other and to proximity of leaves to the stem [11, 25, 33, 45, 47, 50].

Mediterranean *Quercus* species showed a number of morphological similarities despite having different leaf developmental patterns. For instance, *Q. ilex* and *Q. coccifera* show a predeterminate growth model with differentiable growth cycles along the summer. However, the two of them present an architecture almost identical to that of *Q. suber*, which shows indeterminate continuous growth and flushing leafing. Therefore, the developmental pattern of leaf emergence does not seem to fully determine the associated light-harvesting efficiency of oak seedlings, which is influenced by additional factors.

The oak species formed four distinct groups according to their light-harvesting efficiency (Fig. 5). This classification showed two extreme groups corresponding to oaks with the highest efficiency from temperate-mesic environments (e.g., *Q. alba*, *Q. rubra*) and oaks with the lowest efficiency from Mediterranean environments (e.g., *Q. suber*). However, oaks from transitional (e.g., *Q. faginea*, *Q. pyrenaica*), temperate

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**Table II.** Correlation values (Pearson coefficient) between the analysed morphological variables (CV, coefficient of variation of internode length; D/H, diameter/height ratio; LARshoot, leaf area ratio; number of leaves; *Ea*, light harvesting efficiency; MLA, mean leaf area; LMA, leaf mass per area) and the scores of the first two components of the PCA (PC1, PC2). All correlation values were significant (*p* ≤ 0.01) except underlined values (*p* > 0.05).

<table>
<thead>
<tr>
<th></th>
<th>CV</th>
<th>D/H</th>
<th>LARshoot</th>
<th>N. leaves</th>
<th><em>Ea</em></th>
<th>MLA</th>
<th>LMA</th>
</tr>
</thead>
<tbody>
<tr>
<td>D/H</td>
<td>0.74</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>LARshoot</td>
<td>0.91</td>
<td>0.85</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>N. leaves</td>
<td>−0.74</td>
<td>−0.82</td>
<td>−0.80</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><em>Ea</em></td>
<td>0.71</td>
<td>0.72</td>
<td>0.77</td>
<td>−0.86</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>MLA</td>
<td>0.84</td>
<td>0.79</td>
<td>0.93</td>
<td>−0.73</td>
<td>0.75</td>
<td></td>
<td></td>
</tr>
<tr>
<td>LMA</td>
<td>−0.89</td>
<td>−0.98</td>
<td>−0.93</td>
<td>0.96</td>
<td>−0.84</td>
<td>−0.82</td>
<td></td>
</tr>
<tr>
<td>PC1</td>
<td>0.91</td>
<td>0.85</td>
<td>0.99</td>
<td>−0.82</td>
<td>0.78</td>
<td>0.93</td>
<td>−0.93</td>
</tr>
<tr>
<td>PC2</td>
<td>0.01</td>
<td>−0.21</td>
<td>0.03</td>
<td>0.57</td>
<td>−0.37</td>
<td>0.06</td>
<td>0.26</td>
</tr>
</tbody>
</table>

**Figure 2.** Negative relationship between mean leaf area and number of leaves for all *Quercus* seedlings studied.

\[
\text{MLA} = 2.24 + 143.20e^{0.18 N\text{leaves}}
\]

\[
r^2 = 0.74, p < 0.001;
\]
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Figure 3. Ranked mean values of selected morphological variables related to leaves (number of leaves; MLA, mean leaf area; LAR$_{shoot}$, leaf area ratio of the shoot; total leaf area) for the studied *Quercus* species. The different fill colors correspond to different leaf habits and environments: evergreen Mediterranean oaks, black bars; deciduous transitional sub-Mediterranean oaks, grey bars; deciduous temperate-mesic oaks, white bars). Species abbreviations: ALB, *Q. alba*; COC, *Q. coccifera*; FAG, *Q. faginea*; ILE, *Q. ilex*; PYR, *Q. pyrenaica*; ROB, *Q. robur*; RUB, *Q. rubra*; SUB, *Q. suber*. Different letters indicate significant differences among species (SNK test, $p \leq 0.05$). Error bars are SE.

Figure 4. Ranked mean values of selected morphological variables (CV, coefficient of variation of internode length; LMA, leaf mass per area; structural dry mass; D/H, diameter/height ratio) for the studied *Quercus* species. Symbols and abbreviations as in Figure 3.

(e.g., *Q. robur*) and Mediterranean environments (e.g., *Q. ilex*, *Q. coccifera*) formed two groups with intermediate light-harvesting efficiencies. The heterogeneity of these last groups suggests that the classification of oak species based solely on their light-harvesting efficiency did not group them according to their contrasting provenance environments excepting the extreme cases.

The grouping of oaks species based on their morphological traits and their light-harvesting efficiency provided a better classification scheme according to the provenance environments of the species. The ordinations derived from principal component analysis established two contrasting morphological models, which were illustrated by *Q. rubra* and *Q. suber* (Fig. 6). These two oak species occupied extreme positions according to their mean number of leaves, leaf size, variation in internode length and light-harvesting efficiency due to their divergent developmental patterns. These distinct developmental patterns were found in oaks from contrasting provenance environments: temperate-mesic (e.g., *Q. rubra*) vs. Mediterranean environments (e.g., *Q. suber*), with intermediate patterns for species from transitional environments (e.g., *Q. faginea*).

Our findings agree with the models of leaf and stem development proposed by Kikuzawa [19]. *Q. rubra* displayed its foliage as pseudo-whorls located at the end of long internodes...
formed through cyclic growth and synchronous leaf expansion, whereas *Q. suber* showed a continuous height growth and intermediate periods between consecutive leaf expansions. The *Q. rubra* model has been associated to light-limited environments because synchronous leaf expansions in mono-layers results in an effective strategy for maximizing light capture and carbon gain along the growth season [19]. Synchronous leaf expansion minimizes self-shading, which in turn allows for a near-optimal photosynthetic performance of most of the leaves of the crown under low-irradiance conditions [43,46]. Contrastingly, the continuous growth strategy of *Q. suber* is related to environments characterized by excessive light. In this case, leaves show a high photosynthetic potential that decreases quickly over time with new leaves constantly replacing old leaves. In this way, the negative effects of self-shading on the carbon gain of old leaves are mitigated.

The basic feature observed among the studied oak species to maximize light-harvesting efficiency was the arrangement of leaves in mono-layers. Although many structural solutions may be adopted to achieve this including stem inclination, leaf rotation, branching, and petiole enlargement [17], the studied Quercus species formed leaf layers by generating pseudo-whorls. This was achieved through an extreme shortening of consecutive internodes. The need for having more than one leaf flush involves a great elongation of the internodes occurring between successive pseudo-whorls to reduce mutual shading among neighbour pseudo-whorls. The coefficient of variation of internode length of this pattern was significantly higher than that of successive leafing. Variations in the length of the internodes, leaf size and number of leaves has allowed Quercus species to adopt a remarkable architectural diversity without significant differences in allocation to supporting biomass (Figs. 4 and 5). The oak species studied could be arranged according to their functional and structural crown architectures, and this arrangement was consistent with their environmental conditions. Similar ordinations have been found according to traits related to water-stress resistance [9], which suggests that oak species from Mediterranean,
transitional sub-Mediterranean and mesic environments are distinctive functional groups. However, inferences based on interspecific trait rankings from seedlings to adult plants in the field must be done with great caution [10].

The low light-harvesting efficiency due to self-shading observed in Mediterranean Quercus species might be an adaptation to high-irradiance environments [43]. Tolerance to drought involves a number of morphological adaptations, some of which conflict with the maximization of light capture for photosynthesis [36]. For instance, Mediterranean oaks such as Q. suber, Q. ilex and Q. coccifera are evergreen species and invest a large fraction of biomass in each individual leaf (Fig. 4). Seedlings of Mediterranean oaks can avoid high light stress due to the exposure to high irradiance by the protection of a large fraction of their foliar area, which is not displayed [46]. The low light-harvesting efficiency can be favourable considering a long-term carbon balance of the plant [42]. While all plants exhibit a relatively high capacity for physiological protection against excessive irradiance [26], only those from xeric sites exhibit architectural and morphological features that prevent them from surpassing the physiological limits of tolerance [43]. We acknowledge the fact that our experiments were carried out in moderately shaded environments, which may influence the suggested hypothesis on this trade-off between maximizing light harvesting and avoiding high-light stress. However, we required a similar light environment for the studied oak seedlings to compare their morphological response and the moderate shade provided the most appropriate light environment for these species.

Light harvesting efficiency of the studied oak seedlings ranged from 0.55 to 0.77, i.e. a 20% difference, despite being related species grown under similar light environments. This conclusion is in contrast with the idea that plastic phenotypic adjustments of crown architecture are the primary determinants of light capture in plants [28, 47]. Leaf angle, leaf size and internode length are very plastic features, but phylogenetic constraints also determine the light harvesting efficiency of a given plant species. Future studies should assess if the intrinsic cost of the crown architecture of Mediterranean oak seedlings in terms of light capture may be an evolutionary stable strategy, i.e. if the potential advantages such avoiding high light stress compensate for this cost.

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